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SECTOR

Docket No. 60807-A-PCT-US/JPW/GJG/CSN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Alexander Gad et al.

Serial No.

09/816,989

Filed

March 23, 2001

For

COPOLYMER 1 RELATED POLYPEPTIDES FOR USE AS MOLECULAR WEIGHT MARKERS AND FOR THERAPEUTIC

USE

1185 Avenue of the Americas New York, New York 10036 August 8, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

ATTN: Box Missing Parts

Sir:

COMMUNICATION IN RESPONSE TO JUNE 8, 2001 NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED

This Communication is submitted in response to a June 8, 2001 Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the June 8, 2001 Notice is due August 8, 2001. Accordingly, this Communication is being timely filed.

The Notice to File Missing Parts of Application indicates that the oath or declaration is unsigned. Applicants attach hereto a copy of the Notice as **Exhibit A**. In response, applicants submit as **Exhibit B** hereto a signed Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53(f). In compliance with 37 C.F.R. §1.63, the Declaration refers to the application's above-identified serial number and filing date.

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In addition, the Notice to File Missing Parts of Application indicates that the application fails to comply with the requirements of 37 C.F.R § 1.821-1.825. Specifically, the Notice advises that an initial computer readable form (CFR) copy of the "Sequence Listing", an initial paper or compact disc copy of "Sequence Listing", as well as an amendment directing its entry into the application is required. The Notice also advises that a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and includes no new matter, as required by 37 C.F.R. § 1.825(b). In response, applicants submit as Exhibit C hereto substitute sheets of the "Sequence Listing." Applicants also submit a computer readable form (CFR) copy of the substitute sheets of the "Sequence Listing." Applicants note that all sequences in the subject application are identified by sequence identification numbers (SEQ ID Nos), so an amendment directing the entry of the "Sequence Listing" into the application is unnecessary. addition, applicants submit as Exhibit D hereto a Statement in Accordance with 37 C.F.R. § 1.825(b), certifying that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) "Sequence Listing" and includes no new matter. The Statement in Accordance with 37 C.F.R. §1.825(b) (Exhibit D) also certifies that the substitute sheets of the "Sequence Listing" include no new matter.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorneys invite the Examiner to telephone the number provided below.

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No fee, other than the enclosed \$130.00 surcharge fee, is deemed necessary in connection with the filing of this Communication. If any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

Registration No. 28,678

Attorney for Applicants

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope

addressed to: Assistant Commissioner for Patents Washington D.C. 20231

No. 28,678

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(212) 278-0400

John P. White

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/816,989

Cooper & Dunham LLP

New York, NY 10036

1185 Avenue of the Americas

03/23/2001

Alexander Gad

60807-A-PCT-US/JPW/GJC

CONFIRMATION NO. 7587

FORMALITIES LETTER

OC00000006162134

#3

Date Mailed: 06/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821 (g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825 (b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

■ For Rules Interpretation, call (703) 308-4216

08/15/2001 MABDT1 01 FE:105





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Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE